

JOURNAL OF ALGEBRA 51, 354–366 (1978)

Homology and Completions of Groups

M. A. GUTIERREZ*

*The Pennsylvania State University, University Park, Pennsylvania 16802**Communicated by N. Jacobson*

Received June 15, 1976

INTRODUCTION

To what extent is a group determined by its homology? In other words, if A is a group and R is a commutative ring, can we reconstruct A from information about $H_* = H_*(A; R)$?

Two examples come to mind: Abelian groups and groups that Abelianize to zero. Among the latter we can find nontrivial examples with $H_* \equiv 0$ such as $\langle a, b, c, d : a^{-1}ba = b^2, b^{-1}cb = c^2, c^{-1}dc = d^2, d^{-1}ad = a^2 \rangle$.¹ On the other hand, if A is Abelian and $R = Q$, $H_1 = A \otimes Q$, and that is all we can recover. In other words we lose the Z -torsion subgroup.

These two examples set the tone of this paper: On the one hand we must give up the perfect part of the group. This amounts to assuming our groups are *residually nilpotent* [17, p. 349]. On the other hand H_* can only yield the non-Abelian generalization of $A \otimes R$, that is, the nilpotent R -completion \hat{A}_R of A [16, II.3]. \hat{A}_R is equipped with a map $\iota_A: A \rightarrow \hat{A}_R$ which is monic iff A is residually and A has no R -torsion in some sense.

Having settled for $\hat{A}_R(\iota_A$ injective), we find a partial answer to our question: A group homomorphism $h: A \rightarrow B$ is said to be an HR -equivalence if $h_*: H_q(A; R) \rightarrow H_q(B; R)$ is an isomorphism for $q = 1$ an epimorphism for $q = 2$. In [19] it is shown that if h is an HR -equivalence, it induces an isomorphism $\hat{A}_R \rightarrow \hat{B}_R$. This indicates that if our question has an answer at all, H_1 and H_2 are the only relevant groups. The following example, however, shows that isomorphy of H_1 and H_2 is not enough. An m -link is an embedding l of m circles C_1, \dots, C_m in S^3 . The complement X of $\text{Im}(l)$ is called the *complement* of l . Unless l is splittable, $\pi_2(X) = 0$ and so the group $G = \pi_1(X)$ has the following properties:

* Supported by the Research Foundation of CUNY and by the City of New York.

¹ The example is due to Higman [13]. Such groups are called *perfect*. That $H_* \equiv 0$ is a result of Dyer and Vazquez [10].

- (i) $H_1(G; Z) = H_1(X) = Z^m$,
 (ii) $H_2(G; Z) = \text{coker}(\eta: \pi_2(X) \rightarrow H_2(X)) = Z^{m-1}$, where η is the Hurewicz map.

But \hat{G}_Z varies a lot from link to link. For the standard 2-link with linking number one $G = \hat{G}_Z = Z^2$. For all other 2-links G is not Abelian and $G \neq \hat{G}_Z$. Thus, some other information is needed.

The desired extra information is precisely the Massey coproducts $H_2 \rightarrow H_1 \otimes \cdots \otimes H_1$, which arise as differentials of the cobar construction spectral sequence of $B_*(A)$ (= reduced bar construction of the group algebra RA) or of any complex homotopic to H .

Roughly our main result is

(*) *If A and B are groups which embed in their R -completions, and if there exists an abstract isomorphism $\varphi_q: H_q(A; R) \rightarrow H_q(B; R)$ ($q = 1, 2$) such that φ_* preserves the Massey coproducts, then φ induces an isomorphism $\hat{A}_R \rightarrow \hat{B}_R$.*

In other words, \hat{A}_R can be reconstructed from H_1 , H_2 and the Massey coproducts.

We now discuss a partial converse to (*). Over the integers we have an absolute theorem. Recall that a group G is parafree of rank r if it is residually nilpotent and if $\hat{G}_Z \approx \hat{F}_Z$ for some free group F of rank r .

If G is finitely generated and parafree then $H_2(G; Z) = 0$.

This is a conjecture due to Baumslag [3].

The result as stated requires some explanation: why finitely generated groups? If F is the free group of rank r [17, I], then $H_2(F; Z) = 0$ while an unpublished calculation of Bousfield, Dror, and Stambach shows that the 2nd homology of the uncountable group \hat{F}_Z is itself uncountable. But F and \hat{F}_Z share, of course, the same completion.

For finitely generated torsion free groups, the converse of (*) holds provided R is a field.

It turns out we need nice (finitely generated and *pre-Abelian*) presentations for our groups. Cohen [9] proves *all* groups have pre-Abelian (although not necessarily finite) presentations. If a group G is finitely generated, a pre-Abelian presentation with finitely many generators exists [17, p. 141].

Relations with topology. (1) In [18] Milnor defines certain isotopy [19, Sect. 5] invariants $\bar{\mu}(i_1, \dots, i_s)$ ($1 \leq i_j \leq m$) for an m -link l . There are precisely the Massey coproducts for the group $G = \pi_1(X)$. Let $\gamma_q(G)$ be the q th member of the lower central series [17, V] of G and $\gamma G = \bigcap \gamma_q G$. Then $\Gamma G = G/\gamma G$ is residually nilpotent. If ΓG is parafree all the $\bar{\mu}(i_1, \dots, i_s)$ are zero. The converse however is not true because $H_2(\Gamma G)$ need not be zero (see (ii) above). In general we refer to the Massey coproducts as the Milnor $\bar{\mu}$ -invariants of G [13].

- (2) A group G is *HR-local* [4] if every *HR*-equivalence $h: A \rightarrow B$ induces

a bijection $\text{Hom}(B, G) \rightarrow \text{Hom}(A, G)$. Every group has an HR -localization \bar{G}_R and a map $j_G: G \rightarrow \bar{G}_R$ which is an HR -equivalence. If G is nilpotent [21, VI; 22], then j_* is a homology localization, that is, $H_*(\bar{G}_R; Z) = H_*(G) \otimes R$ and $j_*(\alpha) = \alpha \otimes 1$. Further, $i_G = j_G$ and $\bar{G}_R = \hat{G}_R$. This is no longer true if G is not nilpotent (e.g., G free, $H_2(\hat{G}_Z) \neq 0$). We show that \hat{G}_R still determines the homology of its finitely generated subgroups $A \supseteq G$ (that is, $H_*(A; R) \approx H_*(G; R)$). If P is a set of primes and $R = Z_P$, the Sullivan P -adic completion \hat{K} of $K = K(G, 1)$ [22] contains spaces $X \supset K$ which almost are $K(A, 1)$'s for $G \subseteq A \subseteq \hat{G}_R$ in the sense that $H_2(X; R = H_2(G; R) \oplus [\text{Im}(\eta) \otimes R])$ ($\eta =$ Hurewicz map). For the not finitely generated subgroups of \hat{G}_R presumably we must make use of the natural topology defined on \hat{G}_R . This last remark is due to Lyndon.

Hypotheses and notation. Unless otherwise specified we assume R is a field $(Q, Z/(p))$. If not, generally R is either Z or Z_p .

We always assume our groups over residually nilpotent; otherwise we could replace G by $\Gamma G = G/\cap \gamma_q(G)$. The group $\Gamma^q G = G/\gamma_q(G)$ is nilpotent of class $\leq q$. In [21] the notion of tensor product of a nilpotent group and R is defined. We repeat that definition in Section 3. For the time being, put $\Gamma_R^q G$ for $\Gamma^q G \otimes R$. The inclusion maps $\gamma_{q+1}G \rightarrow \gamma_q G$ induce epimorphisms $\pi_q: \Gamma_R^{q+1} G \rightarrow \Gamma_R^q G$ for all rings R . Then the system $\{\Gamma_R^q G, \pi_{q-1}\}$ is an inverse system. Let \hat{G}_R be its limit and $\iota_G: G \rightarrow \hat{G}_R$ the map defined by the epimorphisms $\iota_q: G \rightarrow \Gamma^q G$. From the definitions, if $R = Z_p$, ι_G is monic iff G is residually nilpotent and has no π -torsion [21, V] for all primes $\pi \notin P$.

Similarly if $A = RG$ is the group R -algebra of G with augmentation ideal K , we assume $K^\omega = \cap K^n$ is zero. If R is a field and if G is residually nilpotent, then $K^\omega = 0$ [16]. However, for $R = Z$ this is not clear, at least not to the author. Emphasis should be made, therefore, on this essential hypothesis especially for Theorem 5 below.

1. COBAR CONSTRUCTION

1.1. Let G be a group and let R be a ring. Define $A = RG$, the group R -algebra with augmentation $\epsilon: A \rightarrow R$, diagonal $D: A \rightarrow A \otimes_R A$ (induced by the diagonal $G \rightarrow G \times G$) and fundamental ideal $K = \text{Ker } \epsilon$. Occasionally we use \mathcal{A} , the complex defined by $\mathcal{A}_0 = A$, $\mathcal{A}_i = 0$ for $i \neq 0$, and the R -linear map $\varphi: A \rightarrow A$ given by $\varphi(a) = a - \epsilon(a)$.

Consider

$$\longrightarrow \mathcal{C}_3 \longrightarrow \mathcal{C}_2 \longrightarrow \mathcal{C}_1 \longrightarrow \mathcal{C}_0 \xrightarrow{\epsilon} R, \quad (\mathcal{C}_*)$$

which is an A -resolution of R . Assume $\mathcal{C}_0 = A$. To compute $H_*(G; R)$ we use $\mathcal{C}_* = \mathcal{C}_* \otimes_G R$. Then $\bar{\mathcal{C}}_0 = R$. We also assume for the applications that $\bar{\mathcal{C}}_1 = A$.

The cobar construction for RG is a double complex with a structure of DGA -algebra:

$$\mathcal{F}_{-p,q} = \sum \mathcal{C}_{i_0} \otimes \cdots \otimes \mathcal{C}_{i_p} \quad \left(i_k > 0, \sum i_k = q + 1 \right)$$

with elements $[y_0 | \cdots | y_p]$ and differentials $d: \mathcal{F}_{-p,q} \rightarrow \mathcal{F}_{-p,q+1}$ and $D: \mathcal{F}_{-p,q} \rightarrow \mathcal{F}_{-p-1,q}$ induced by $d: \mathcal{C}_n \rightarrow \mathcal{C}_{n-1}$ and $D: \mathcal{C}_n \rightarrow \sum_{i \neq 0, n} \mathcal{C}_i \otimes \mathcal{C}_{n-i}$, respectively. The grading here is not that of [5, Sect. 10] and it was introduced by Stallings in [19]. The advantage of this grading is twofold: (i) in the applications it is convenient to have $\mathcal{F}_{0,0} = \mathcal{C}_1$, $\mathcal{F}_{01} = \mathcal{C}_2$, (ii) \mathcal{F} is the cotensor product $\mathcal{Y}(R) \square_{\mathcal{R}} R$ [7] of the *unreduced* cobar construction and the grading of \mathcal{F} corresponds to that of $\mathcal{Y}(R)$. Here the assumption $\mathcal{C}_0 = R$ becomes essential (loc. cit.).

Define a map of DGA -algebras $\varphi: \mathcal{F} \rightarrow \mathcal{A}$ by

$$\begin{aligned} \varphi[x_0 | \cdots | x_p] &= (-1)^{p+1} \prod \varphi(x_i), & \text{if all } x_i \in \mathcal{C}_1, \\ &= 0 & \text{otherwise.} \end{aligned}$$

Then φ can be considered as a twisting cochain [5] in $C^*(\mathcal{C}; A)$.

Consider the filtration

$$\Phi_{-n}\mathcal{F} = \sum_{p \geq n} \mathcal{F}_{-p,q};$$

Φ induces, via φ , a filtration Φ on A , namely, $\Phi_{-n}A = K^n$.

The calculations of Adams and Hilton [1] and Brown [5] easily extend to this case. $\mathcal{C} \otimes \mathcal{F}$ is an acyclic complex and \mathcal{C} is the twisted tensor product [5] $\mathcal{C} \otimes_{\varphi} \mathcal{A}$. The map

$$(\text{id}) \otimes \varphi: \mathcal{C} \otimes \mathcal{F} \rightarrow \mathcal{C} \otimes_{\varphi} \mathcal{A}$$

is a chain equivalence since both sides are acyclic. Theorem B of [6] insures that

LEMMA 1. $\varphi: \mathcal{F} \rightarrow \mathcal{A}$ is a chain equivalence.

Every double complex $\mathcal{F}_{-p,q}$ induces a spectral sequence $E_{-p,q}^r$ which does not necessarily converge finitely. Also, it does not follow that $E^r \Rightarrow \text{gr } \mathcal{A} = \sum K^q/K^{q+1}$. However, since $\bigcap K^q = 0$ we have the following result.

THEOREM 2 (Stallings [20]). *If $K^\omega = 0$, then*

$$E_{-p,p}^{p+1} = E_{-p,p}^\infty = K^{p+1}/K^{p+2} \quad \text{for } p \geq 0.$$

Proof. Define $\alpha: H_p(\Phi_{-q}\mathcal{F}) \rightarrow H_p(\Phi_{-q+1}\mathcal{F})$ by inclusion. Let $I_{p,q}$ be $\bigcap_k \alpha^k H_p(\Phi_{-p-k}\mathcal{F})$; there is a natural map $\alpha^n: I_{p,q} \rightarrow I_{p,q-1}$ which for $p = 0$ is injective iff E^r converges to $\text{gr } \mathcal{A}$ [8, XV. 2]. We prove that $I_{0q} = 0$ for all q : Let $z \in \sum_{i \geq q} \mathcal{F}_{-i,i}$ be a representative of an element in I_{0q} . Then z is homologous

in \mathcal{F} to a cycle in $\Phi_{-n}\mathcal{F}$ for any $n \geq p$. It follows that $\varphi(z) \in K^n$ ($n \geq p$). Since φ is a chain equivalence and $K^\omega = 0$, z is a boundary in \mathcal{F} and $I_{0q} = 0$.

For $s = q - p \geq 1$, $E_{-p,q}^r$ no longer converges to $\mathcal{A}_s = 0$.

The description of the differentials

$$d^r: E_{0,1}^r \rightarrow E_{-r+1,r-1}^r$$

is dual to that of the Massey products given in [12, p. 58] (see [11]). We refer to the d^r as the Massey coproducts. Observe that $E_{01}^r \subseteq H_2(G; R)$ and $E_{-p,q}^r$ is the quotient of the $(p+1)$ -fold tensor product of $H_1(G; R)$ by the images of the d^s ($s < r$).

1.2. Suppose G has a finite presentation [17, I].

$$\langle x_1, \dots, x_n : r_1, \dots, r_m \rangle \quad (1)$$

and that the Abelianization of G is $Z^s \oplus \sum_{j=1}^t Z/(e_j)$ ($e_1 \mid \dots \mid e_t$). Then (1) can be changed to a presentation

$$\langle a_1, \dots, a_s, b_1, \dots, b_t, c_1, \dots, c_u : b_1^{e_1} B_1, \dots, b_t^{e_t} C_1, \dots, c_u C_u, D_1, \dots, D_n \rangle, \quad (2)$$

where, if Ψ is the free group in $\{a_i, b_j, c_k\}$, then the B_j, C_k , and D_l lie in $[\Psi, \Psi] = \gamma_2 \Psi$. Such presentation is called *pre-Abelian* and [17, p. 141] if (1) is finite, we may choose u, v so that $s + t + u = n$, $t + u + v = m$.

Let L be a free group $\langle a_1, \dots, a_s \rangle$ and \mathcal{M} the ring of formal power series $R[[\alpha_1, \dots, \alpha_s]]$ in noncommutative variables α (Magnus ring [16]). Then the map $\mu: L \rightarrow \mathcal{M}$ defined by $\mu(a_i) = 1 + \alpha_i$ induces an R -algebra monomorphism $RL \rightarrow \mathcal{M}$. For $w \in L$, we write $\mu(w) = 1 + \sum \mu(i_1, \dots, i_p) \alpha_{i_1} \cdots \alpha_{i_p}$ ($1 \leq i_k \leq s$). The coefficients $\mu(i_1, \dots, i_p)$ are the images of the Fox derivatives [17, p. 365].

$$(\partial^p w [\partial a_{i_1} \cdots \partial a_{i_p}])^0 = \epsilon(\partial^p w / \partial^p a)$$

under the augmentation map $\epsilon: RL \rightarrow R$.

1.3. With the aid of (1) we may find an acyclic RG -complex \mathcal{C}_* as in (1.1). For the applications we only need the two-skeleton of \mathcal{C}_* . This is described in [23] as follows:

Let $\{\xi_i\}$ be in 1-1 correspondence with the x_i and $\{\mathbf{x}_j\}$ in 1-1 correspondence with the r_j . Define

$$\mathcal{C}_0 = Ae, \quad \epsilon(e) = 1,$$

$$\mathcal{C}_1 = \sum_{i=1}^n A\xi_i, \quad d(\xi_i) = (x_i - 1)e,$$

and

$$\mathcal{C}_2 = \sum_{j=1}^m A\rho_j, \quad d(\rho_j) = \sum (\partial r_j / \partial x_i) \xi_i.$$

Then it is easy to check $D: \mathcal{C}_2 \rightarrow \mathcal{C}_1 \otimes \mathcal{C}_1$ is given by

$$D(\rho_j) = \sum (\partial^2 r_j / \partial x_i \partial x_k)^0 [\xi_i | \xi_k].$$

In general if $c = \sum a_j \rho_j \in E_{01}^s$, then

$$d^s c = \sum a_j (\partial^{s+1} r_j / \partial x_{i_0} \cdots \partial x_{i_s})^0 [\xi_{i_0} | \cdots | \xi_{i_s}] \quad (3)$$

(cf. [13, (2)]).

1.4. If G is a group, we write $Q_p(G; R)$ for K^p/K^{p+1} , where $K = \ker(\epsilon: RG \rightarrow R)$. Q is a functor.

COROLLARY 3. *Let G be a group presented by (1) and W a set of words w_1, \dots, w_t in the x_i such that*

$$(\partial^s w_j / \partial x_{i_1} \cdots \partial x_{i_s})^0 = 0$$

for all $s \leq p$. Then if $H = G/\langle W \rangle$, the canonical map $Q_s(G) \rightarrow Q_s(H)$ is an isomorphism for all $s \leq p$.

If $\gamma_p(G)$ is the lower central series of G , $\sum (\gamma_p G / \gamma_{p+1} G) \otimes R$ has a structure of Lie algebra [2, 16] which we call L_G . If R is a field and U_G is the universal enveloping algebra of L_G , the map $\psi: L_G \rightarrow Q_*(G; R)$ defined by $(g\gamma_{p+1}G) \rightarrow (g-1)K^{p+1}$ for $g \in \gamma_p G$, induces an isomorphism $U_G \rightarrow Q_*(G)$ of graded R -algebras. This "Poincaré-Witt" theorem is due to Quillen (cf. [2, 21]).

As in (1), if F is the group $\langle x_1, \dots, x_n \rangle$ and S is the smallest normal subgroup of F generated by the r_1, \dots, r_m , then it is well known [19, 21] that $H_2(G; Z) = (S \cap \gamma_2 F) / [F, S]$. As usual $[X, Y]$ is the smallest normal subgroup generated by the $[x, y] = xyx^{-1}y^{-1}$. Consider the filtration

$$\Phi_i H_2(G) = (S \cap \gamma_i F) / \{[F, S] \cap \gamma_i F\} = ((S \cap \gamma_i F) / [F, S]) / [F, S].$$

Then the corresponding graded object $\text{gr } H_2(G)$ is

$$\sum \gamma_i F / (\gamma_i F \cap [F, S] \gamma_{i+1} F).$$

On the other hand L_F is the free Lie algebra of rank n . We also have an epimorphism $L_F \rightarrow L_G$ with kernel $\mathfrak{S} = \sum (S \cap \gamma_i F) / (S \cap \gamma_{i+1} F)$. Under these conditions $H_2(L_G): (\mathfrak{S} \cap [L_F, L_F]) / [\mathfrak{S}, L_F]$ [8, p. 357] is isomorphic to

$$\sum \{(S \cap \gamma_i F) / \Pi_i [S \cap \gamma_{i-1} F, \gamma_{i-1} F]\}.$$

It follows that

LEMMA 4.

$$H_2(L_G) = \text{gr } H_2(G).$$

A good proof of this lemma, and many of the computations herein can be found in [11]. With this lemma we can compute the cobar construction for the algebra U_G . Over a field this does not differ from $Q(G)$; not so over Z .

2. THE TERM E_{01}^∞

2.1. For a group G we write $\Gamma^q G = G/\gamma_q G$ (cf. Introduction). A group is residually nilpotent iff $\gamma G = \bigcap \gamma_q G = 1$. As in the Introduction define $\hat{G} = \hat{G}_z = \text{inv lim} \{\Gamma^q G, \pi_q\}$. A residually nilpotent group G is parafree of rank s if we can find a free group $L = \langle x_1, \dots, x_s \rangle$ and isomorphisms $\nu_p: \Gamma^p L \rightarrow \Gamma^p G$ such that $\pi_p \nu_{p+1} = \nu_p \pi_p$. In particular $L \subseteq G \subseteq \hat{L}_Z$.

THEOREM 5. $H_2(G; Z) = 0$ for finitely generated parafree groups.

Proof. By [19, 3.2] there is a monomorphism $h: L \rightarrow G$. Then, for some free group $A = \langle x_i, \dots, x_s, x_{s+1}, \dots, x_{s+t} \rangle$ (t finite), there exist words B_j, C_k in γ_2 such that

$$\langle A: x_{s+1}B_1, \dots, x_{s+t}B_t, C_1, \dots \rangle$$

is a pre-Abelian (and finitely generated) presentation for G .

It will be convenient to assume $1 \leq i \leq s$ and $s+1 \leq j \leq s+t$ throughout. Define $\psi: A \rightarrow L$ by $\psi(x_i) = x_i$, $\psi(x_j) = 1$. Clearly, ψ is a retraction of A on L . If ψ induces a map $\psi': G \rightarrow L$, then by [19, 3.4] ψ' must be an isomorphism. We use this fact to reason by contradiction: If we assume $H_2(G) \neq 0$, then we can prove that $\psi(B_j) = \psi(C_k) = 1$ so that ψ' is defined, but then $\psi_*: H_2(G) \rightarrow H_2(L) = 0$ is an isomorphism. This is a contradiction.

Now, the induced map $h: Q(L) \rightarrow Q(G)$ is an isomorphism since $U_L \approx U_G$ (see [2] for case $R = Z, L_G$ torsion free) and so $E_{-p,p}^1 = E_{-p,p}^\infty$ because $Q_{p+1}(G) = Q_{p+1}(L) = E_{-p,p}^1$. As a result $E_{01}^\infty = H_2(G; Z)$ and $d^p: H_2(G) \rightarrow E_{-p,-1,p+1}^p = E_{-p-1,p+1}^\infty$ is zero for all $p \geq 2$. We study the consequences of this at the chain level in E^0 . Let $S = \ker(A \rightarrow G)$. Assume $H_2 G = (S \cap \gamma_2 A)/[S, A] \neq 0$. Then one of the C_k , say $c = C_1$, does not belong to $[A, s]$ and $d^2 c$ is defined. As in (1.3) let $\mathcal{C}_1 = \sum Z \xi_l$ ($1 \leq l \leq s+t$) and $\mathcal{C}_2 = \sum Z \rho_p$ ($1 \geq p$). Since $d^2 c = 0$ in E^2 , the chain $d^2 c$ must lie in $d^1 E_{-1,2}^1$. Now, $E_{-1,2}^1$ is a group with elements $f = \sum (e_{xr} \xi \otimes \rho + e'_{r'x} \rho' \otimes \xi')$, $e, e' \in Z$ (we have eliminated the subscripts from x and r for typographical reasons. The r_p are the relations in (4)).

If $p \geq t+1$, $(\partial r_p / \partial x_l)^0 = 0$ for all l in $[1, s+t]$ but for $p \leq t$, $(\partial r_p / \partial x_l)^0$ is 0 if $l \leq s$ and $\delta_{p, t-s}$ if $1 \leq p \leq t$ and $s \leq l \leq s+t$. Since $d^1 f = d^2 c$ for some f , it follows from (3) that $(\partial^2 c / \partial x_i \partial x_j)^0 = 0$. Similarly, the higher differentials $d^p c = d^1 f_p$ for f_p in $E_{-1,2}^1$, and so $(\partial^p c / \partial x_{i_1} \cdots \partial x_{i_p})^0 = 0$ ($1 \leq i_k \leq s$).

By [17, p. 395] if $\mathcal{L} = Z[[\xi_1, \dots, \xi_{s+t}]]$ and $\mu(x_i) = 1 + \xi_i$ (cf. Sect. 1.2), then $\mu(c) \in M$ does not involve terms in $\xi_{i_1} \cdots \xi_{i_p}$. It follows that $\psi(c) = 1$.

Let c' be the elements of Λ obtained by substituting the x_j by the $x_j B_{j-s}$. Then $c \equiv c' \pmod{[A, S]}$ and by the same argument $\psi(c') = 1$.

We use now the Taylor series $\mu(c)$ of c

$$1 + \sum (\partial^2 c / \partial x_i \partial x_j)^0 (\xi_i, \xi_j) + \sum (\partial^2 c / \partial x_j \partial x_{j'})^0 (\xi_j, \xi_{j'}) + \dots, \quad (5)$$

where we write (ξ_i, ξ_j) for $\xi_i \xi_j - \xi_j \xi_i$ in \mathcal{L} and omit the $(\partial^2 c / \partial x_i \partial x_{i'})^0 (\xi_i, \xi_{i'})$ since the coefficients vanish [17, p. 395].

Since $c \neq 1$, $(\partial^q c / \partial x_{k_1} \dots \partial x_{k_p})^0 \neq 0$ for some q where at least one k_i is in $[s+1, s+t]$. Assume q is the smallest such number. Then by the formulas in [18, p. 295] we may assume $k_q = j_0$.

The series $\mu(c')$ is obtained from $\mu(c)$ by substituting ξ_j for $\mu(\xi_j B_{j-s}) - 1$ into it for all j . In other words

$$\xi_j = \sum (\partial^2 B_{j-s} / \partial x_{i'} \partial x_{i''})^0 (\xi_{i'}, \xi_{i''}) + \sum (\partial^2 B_{j-s} / \partial x_i \partial x_{j'})^0 (\xi_i, \xi_{j'}) + \dots$$

and substitute in (5) to obtain

$$1 + \sum (\partial^2 c / \partial x_i \partial x_j)^0 (\partial^2 B_{j-s} / \partial x_{i'} \partial x_{i''})^0 (\xi_i, (\xi_{i'}, \xi_{i''})) + \dots$$

The coefficient for $(\xi_{k_1}, (\xi_{k_2}, \dots, (\xi_{i'}, \xi_{i''}) \dots))$ must be 0 since $\psi(c') = 1$. On the other hand this coefficient is $(\partial^q c / \partial x_{k_1} \dots \partial x_{k_{q-1}} \partial x_{j_0})^0 (\partial^2 B_{j_0-s} / \partial x_{i'} \partial x_{i''})^0$ and its second factor must be zero since the first is not. By substituting x_j by $x_j B_{j-s}$ into c' repeatedly we obtain $(\partial^p B_{j-s} / \partial x_{i_1} \dots \partial x_{i_p})^0 = 0$ and $\psi(B_{j-s}) = 1$. From [19], ψ induces the desired isomorphism $G \rightarrow L$ which shows that the assumption $H_2(G; Z) \neq 0$ is contradictory.

Remark. If t is finite in (4) the number of x_j ($s+1 \leq j \leq t$) involved in the word obtained from c by substituting x_j by $x_j B_{j-s}$ is finite. Even when we iterate that process the number is still $\leq t$. But if t were infinite this number could increase without bound and we would not be able to conclude $\psi(B_{j-s}) = 1$ for all j . Thus the finite generation of G is an essential hypothesis.

2.2. Assume now R is a field and G is a finitely generated residually nilpotent group. Let $E_{-p,q}^i$ be the associated cobar spectral sequence.

PROPOSITION 6. $E_{01}^\infty = 0$.

Proof. We assume $G \neq 1$, $G \neq \gamma_2 G$. This in turn means that if $K = \ker(RG \rightarrow R)$, then $K \neq 0$ and $K \neq K^\omega$, respectively.

By hypothesis, G has a presentation (2) with $s \neq 0$ (cf. Sect. 1.2); otherwise $H_1(G; Z) = \Gamma^2 G$ is finite and $K = K^2$, that is, either $K = 0$ or $K = K^\omega$.

As in Theorem 5, let Ψ be the free group in the a_i, b_j, c_k of (2). Define $\psi: \Psi \rightarrow L = \langle a_1, \dots, a_s \rangle$ by $\psi(a_i) = a_i$, $\psi(b_j) = \psi(c_k) = 1$. Again we prove that if $E_{01}^\infty \subseteq H_2(G; R)$ is nonzero $\psi(B_j) = \psi(C_k) = 1$ and ψ induces a retract

a retract $\psi': G \rightarrow L$. But then the $\psi': \Gamma_R^n G \rightarrow \Gamma_R^n L$ are isomorphisms that commute with the $\pi: \Gamma_R^{n+1} \rightarrow \Gamma_R^n$. In conclusion, G is R -parafree. By Theorem 5 (with R coefficients) $H_2(G; R) = 0$ which contradicts $E_{01}^\infty \neq 0$.

3. COMPLETIONS OVER LOCAL RINGS

3.1. We consider the case $R = Z_p$, where P is a set of primes [21, VII]

For convenience we deal with the case $P = \emptyset$ (that is, $R = \mathbb{Q}$) but general theorems can be stated and proved.

Let X, Y be two groups and let $\varphi_q: H_q(X) \rightarrow H_q(Y)$ ($q = 1, 2$; rational coefficients) be isomorphisms. We write $E_{-p,q}^r(X)$ for the cobar construction associated with QX . Clearly φ induces maps $E_{01}^k(X) \rightarrow E_{01}^k(Y)$ which we also call φ .

We say φ preserves the $\bar{\mu}$ -invariants if the diagram

$$\begin{array}{ccc} E_{01}^r(X) & \xrightarrow{d^r} & E_{-r+1, r-1}^r(X) \\ \varphi \downarrow & & \downarrow \varphi \\ E_{01}^r(Y) & \xrightarrow{d^r} & E_{-r+1, r-1}^r(Y) \end{array} \quad (6)$$

commutes.

LEMMA 7. *Let G be a group as above, and let A be a finitely generated subgroup of \hat{G}^A containing G . Then if $H_1(G) \rightarrow H_1(A)$ is onto, the inclusion $i: G \rightarrow A$ induces $\bar{\mu}$ -invariant preserving isomorphisms $i_q: H_q(G) \rightarrow H_q(A)$ for $q = 1, 2$.*

Proof. Clearly $G \subseteq A \subseteq \hat{G}_R$ implies $H_1 G = H_1 A = H_1 \hat{G}_R$ so i_1 is an isomorphism.

(a) i_2 is monic. If $x \in \ker i_2$, assume $x \in E_{01}^r \subseteq H_2(G; R)$. Consider (6) with $X = G, Y = A, \varphi = i$. Clearly the diagram commutes by naturality and $\text{Coker } d^r = Q_{r+1}(G) = \text{Coker } \bar{d}^r$ by Theorem 2. Then $d^r x = 0$, i.e., $x \in E_{01}^{s+1}$. By induction $x \in E_{01}^\infty$ which is zero by Proposition 6.

(b) Since $\text{Coker } d^r = \text{coker } \bar{d}^r$, i_2 is epimorphic.

3.2. We now state the main result.

THEOREM 8. *Let A, B be groups satisfying the hypotheses of this section (finitely generated, torsion free); then $\hat{A}_R \approx \hat{B}_R$ if and only if we have $\bar{\mu}$ -invariant preserving isomorphisms $\varphi_q: H_q(A) \rightarrow H_q(B)$, $q = 1, 2$.*

Proof. If $\hat{A}^A \approx \hat{B}_R$ we may embed B in \hat{A}_R in such a way that $A \subseteq B$. The result then follows from Lemma 7.

Conversely if we have μ -invariant preserving φ_q , then $\varphi: E_{01}^r(A) \rightarrow E_{01}^r(B)$

is an isomorphism for all r . Then $U_A \approx Q_*(A)$ is isomorphic to $U_B \approx Q_*(B)$. In particular, $L_A \approx L_B$, that is, $[\gamma_q(A)/\gamma_{q+1}(A)] \otimes Q \approx [\gamma_q(B)/\gamma_{q+1}(B)] \otimes Q$ for all q . We must show $\Gamma_O^n A \approx \Gamma_O^n B$ for all n .

First define $\Gamma_O^n X$ for a group X . Since

$$0 \longrightarrow \gamma_n X / \gamma_{n+1} X \longrightarrow \Gamma^{n+1} X \xrightarrow{\pi_n} \Gamma^n X \longrightarrow 1, \quad (7_n(X))$$

is a central extension, it is completely determined by a class $\chi_n(X) \in H^2(\Gamma^n X; \gamma_n X / \gamma_{n+1} X)$ [21, V]. If $\Gamma_O^n X$ is defined, define $\Gamma_O^{n+1} X$ by the central extension $0 \rightarrow [\gamma_n G / \gamma_{n+1} G] \otimes Q \rightarrow \Gamma_O^{n+1} X \rightarrow \Gamma_O^n X \rightarrow 1$ with class $\chi_n \otimes Q$ in

$$H^2(\Gamma_O^n G; \gamma_n G / \gamma_{n+1} G)$$

(recall $\Gamma_O^n X$ is a homology localization $\Gamma_O^n X = (\Gamma^n X)_O^-$, [21, VI]).

We show $\Gamma_O^{n+1} A \approx \Gamma_O^{n+1} B$ by induction. The strategy is to show classes $\chi_n(A) \otimes Q$ and $\chi_n(B) \otimes Q$ are equal.

Consider $\bar{\pi}: \Gamma_O^{n-2} A \rightarrow \Gamma_O^{n-2} A$. Then $\bar{\pi}: E_{-p,p}^{n-2}(\Gamma^{n+1} A) \rightarrow E_{-p,p}^{n-2}(\Gamma^n A)$ is a canonical isomorphism. Now $d^n: E_{01}^{n-1}(\Gamma^n A) \rightarrow E_{1-n,1-n}^{n-1}(\Gamma^n A)$ is an isomorphism since $\text{coker } d^n = E_{1-n,n-1}^\infty = E_{1-n,n-1}^\infty = Q_n(\Gamma^n A) = 0$. On the other hand $d^n: E_{01}^{n-1}(\Gamma^{n+1} A) \rightarrow E_{1-n,n-1}^{n-1}(\Gamma^{n+1} A)$ has cokernel $Q_n(\Gamma^{n-1} A) = Q_n(A)$. By naturality, if we put $r = n - 1$, $X = \Gamma^{n+1} A$, $Y = \Gamma^n A$, and $\varphi = \pi$ in (6), we obtain a commutative diagram. $\text{Coker}(\pi_*: E_{01}^{n-1} \rightarrow E_{01}^{n-1}) = (\gamma_n A / \gamma_{n+1} A) \otimes R$. This follows from Lemma 4 (Hopf's formula). In fact, let F/S be a presentation for A . Then (with rational coefficients) $\gamma_n A / \gamma_{n+1} A = \gamma_n F / (S\gamma_{n+1} F \cap \gamma_n F)$. On the other hand,

$$E_{01}^{n-1}(\Gamma^n A) = (S\gamma_{n+1} F \cap \gamma_n F) / ([S\gamma_n F, F] \cap \gamma_n F) = \gamma_n F / ([S\gamma_n F, F] \cap \gamma_n F)$$

and $\text{coker } \pi_*$ is $\gamma_n F / (S\gamma_{n+1} F \cap \gamma_n F) / ([S\gamma_n F, F] \cap \gamma_n F) = \gamma_n A / \gamma_{n+1} A$. Thus d^n determines $\pi_*: H_2(\Gamma^{n+1} A) \rightarrow H_2(\Gamma^n A)$ since d^n is an isomorphism and $\bar{\pi}_*: E_{1-n,n-1}^{n-1}(\Gamma^{n+1} A) \rightarrow E_{1-n,n-1}^{n-1}(\Gamma^n A)$ is also a canonical isomorphism.

Consider $H_2(\Gamma^{n+1} A) \xrightarrow{\pi_*} H_2(\Gamma^n A) \xrightarrow{\xi} \gamma_n A / \gamma_{n+1} A \rightarrow 0$ which by [19, (2 1)] is exact. By the above horrendous calculations ξ are determined by d^n since π_* is. On the other hand [21, II. 5], ξ is dual to $\chi_n(A) \otimes Q$ since $(7_n(A))$ is a central extension and $\text{Exp}_O(\Gamma^2 A, \gamma_n A / \gamma_{n+1} A) = 0$. Thus $(7_n(A))$ is determined by the spectral sequence and by naturality it induces isomorphisms $\Gamma_O^n A \approx \Gamma_O^n B$ which commute with π . It follows that $\hat{A}_O \approx \hat{B}_O$.

4. TOPOLOGICAL RESULTS

I. Let $l: mS^1 \rightarrow S^3$ be an embedding of the disjoint union mS^1 of circles S_i^1 for $i = 1, \dots, m$. We may l is an m -link. Let X be the compact 3-manifold (the complement of l) obtained by removing open tubular neighborhoods

$T_i = S_i^1 \times D^2$ of $l(S_i^1)$ from S^3 . Then $\partial X = \sum \partial T_i$. Let x_i (resp. y_i) be the meridian (resp. longitude) of the torus ∂T_i . The image (via the inclusion map $\partial T_i \subseteq X$) μ_i (resp. λ_i) of x_i (resp. y_i) in $\pi = \pi_1(X)$ is called an i th meridian (resp. longitude) of l .

If $G = \Gamma^p \pi$, then [18, Theorem 4] it has a presentation

$$\langle \mu_1, \dots, \mu_m; [\mu_i, \lambda_i^{(p)}], \gamma_p L \rangle, \quad (8)$$

where L is the free group in the meridians μ_i and $\lambda_i^{(p)}$ are certain "parallels," i.e., words representing a loop in $T_i - l(S_i^1)$ homotopic to $l(S_i^1)$ in T_i .

By Corollary 3, $Q_p(\pi) \rightarrow Q_p(G)$ is an isomorphism. Let r_i be the relation $[\mu_i, \lambda_i^{(p)}]$ and let α_i be the generators of \mathcal{C}_1 (\mathcal{C}_* is the complex associated with (8) as in Section 1.1). Write $[i_1 | \dots | i_s]$ for $[\alpha_{i_1} | \dots | \alpha_{i_s}]$. Then $d^s: E_{01}^s \rightarrow E_{-s,s}^s$ is

$$d^s(\rho_i) = \sum (\partial^s \lambda_k^{(p)} / \partial \mu_{k_1} \dots \partial \mu_{k_s})^0 ([i | k_1 | \dots | k_s] - [k_1 | \dots | k_s | i]). \quad (9)$$

This follows from [18, (14), p. 294]. As usual ρ_i stands for the generator of \mathcal{C}_2 corresponding to r_i .

The $\bar{\mu}$ -invariants of π as defined above and in [13] are obtained from the $(\partial^s \lambda_i^{(p)} / \partial \mu_{k_1} \dots \partial \mu_{k_s})^0$ modulo the $(\partial^t \lambda_j^{(p)} / \partial \mu_{k_1} \dots \partial \mu_{k_s})^0$, where (j, l_1, \dots, l_t) is obtained from (i, k_1, \dots, k_s) by deleting at least one entry and cyclically permuting the rest. In fact, by (9) in $Q_p(\pi)$

$$\sum \{(\partial^s \lambda_i^{(p)} / \partial \mu_{k_1} \dots \partial \mu_{k_s})^0 - (\partial^s \lambda_{k_1}^{(p)} / \partial \mu_{k_2} \dots \partial \mu_{k_s} \partial \mu_i)^0\} [i | k_1 \dots | k_s] = 0.$$

These are the invariants introduced in [18]. Let now $L = \langle x_1, \dots, x_m \rangle$.

COROLLARY 9. *Let l be an m -link with group π . Then the homomorphism $h: L \rightarrow \pi$, defined by $h(x_i) = \mu_i$ induces an isomorphism $\hat{L}_Z \rightarrow \hat{\pi}_Z$ if and only if $H_2(\Gamma\pi) = 0$. In that case all the $\bar{\mu}$ -invariants are zero.*

Remark. If all the $\bar{\mu} = 0$ it follows from (8) that $\Gamma^p L \approx \Gamma^p \pi$ for all p but it does not follow that this isomorphism is induced by a fixed $h: L \rightarrow \pi$. In fact in (8), $\lambda_i^{(p)}$ depends on p and so the homomorphism inducing $\Gamma^p L \approx \Gamma^p \pi$ need not be the same as that inducing $\Gamma^{p+1} L \approx \Gamma^{p+1} \pi$.

Proof of Corollary 9. If $H_2(\Gamma\pi) = 0$, $L \rightarrow \Gamma\pi$ satisfies the hypotheses of [19, (3.4)] and $\hat{L}_Z \approx (\Gamma\pi)_Z^\wedge \approx \hat{\pi}_Z$. The $\bar{\mu}$ -invariants of π are induced by those of $\Gamma\pi$ which are zero. The converse is trivial.

II. The following is a very useful result in topology (cf. [14]): Let G be a group and let M be a G -group; that is, we are given a homomorphism $\psi: G \rightarrow \text{Aut } M$. Let $D = M \rtimes_\psi G$, be the semidirect product of M and G . By [5] we may find a twisting cochain $\Psi \in C^*(\mathcal{B}(RG); RG)$ such that $\mathcal{B}(RD) := \mathcal{B}(RG) \otimes_\Psi \mathcal{B}(RM)$. Here \mathcal{B} is the bar construction. We may assume $\mathcal{B}(RG)_0 = R$, $\mathcal{B}(RG)_1 = K = \ker(RG \rightarrow R)$ [16, p. 153].

As in Section 1, if \mathcal{F} is the cobar construction associated to M

$$(\text{id}) \otimes \varphi \otimes (\text{id}): \mathcal{B}(RG) \otimes_{\Psi'} \mathcal{F} \otimes_{\varphi} \mathcal{B}(RM) \rightarrow \mathcal{B}(RG) \otimes_{\Psi} (RM \otimes \mathcal{B}(RM))$$

is a chain equivalence for a suitable twisting cochain Ψ' defined as in [5, Sect. 10]. Assume now R is a field.

LEMMA 10. $\text{id} \otimes \varphi: \mathcal{B}(RG) \otimes_{\Psi} \mathcal{F} \rightarrow \mathcal{B}(RG) \otimes_{\psi} M$ is a chain equivalence.

Let M' be a G' -module and $f: G \rightarrow G'$ an HR -equivalence of residually nilpotent groups (cf. Introduction).

Suppose $g: M \rightarrow M'$ is a homomorphism over f .

THEOREM 11. If $(f, g)_*: H_q(G; M) \rightarrow H_q(G'; M')$ is an isomorphism for $q = 0, 1$, then $g: M/K^n M \rightarrow M'/K'^n M'$ is an isomorphism for all n .

Proof. $\mathcal{D}(G, M) = \mathcal{D} = \mathcal{B}(RG) \otimes \mathcal{F}$. Then

$$\mathcal{D}_0 = \mathcal{F}_0 = \sum_{n \geq 1} T^n H_0(G; M),$$

where T^n is the n -fold tensor product and $\mathcal{F} = \mathcal{F} \otimes_G R$. In fact, $\mathcal{F}_0 = \sum_{n \geq 0} T^n M$. Tensoring over G with $\mathcal{B}_0(RG) = R$ yields $\mathcal{D}_0 = \sum T^n (M \otimes_G R) = \sum T^n H_0(M; R)$. Similarly, $\mathcal{D}_1 = \mathcal{F}_1 + K \otimes \mathcal{F}_0$. The summands of \mathcal{F}_1 are tensor products of $H_0(G; M)$ and one copy of $\mathcal{B}_1(RA) \otimes_G R$. The differential $\mathcal{F}_1 \rightarrow \mathcal{F}_0$ is induced by that of $\mathcal{B}_1(RA) \rightarrow \mathcal{B}_0(RA)$ which is zero. The homology of \mathcal{D} depends only on $K \otimes \mathcal{F}_0$ since $d: \mathcal{F}_2 \rightarrow \mathcal{F}_1$ is an isomorphism (this follows from the fact that $d: \mathcal{F}_2 \rightarrow \mathcal{F}_1$ is an isomorphism by Lemma 1). By construction, the homology of $K \otimes \mathcal{F}_0$ is $\sum T^n H_1(G; M)$ since R is a field.

Calculations similar to those leading to Theorem 2 show that if $E_{-p,q}^{\infty}$ is the spectral sequence of \mathcal{D} , then $E_{-p,p}^r = K^p M / K^{p+1} M$. Thus if f and g satisfy the hypotheses of the theorem, the spectral sequences associated to $\mathcal{D}(G, M)$ and $\mathcal{D}(G', M')$ are isomorphic.

REFERENCES

1. F. ADAMS AND P. HILTON, On the chain algebra of a loop space, *Comment. Math. Helv.* **30** (1956), 305–330.
2. F. BACHMANN AND L. GRÜNFELDER, Über Lie-Ringe von Gruppen und ihre universellen Enveloppen, *Comment. Math. Helv.* **47** (1972), 332–340.
3. G. BAUMSLAG, Some groups that are just about free, *Bull. Amer. Math. Soc.* **73** (1967), 621–622.
4. A. K. BOUSFIELD, Homological localizations in spaces in "Localization in Group Theory and Homotopy Theory," Lecture Notes in Math. No. 418, Springer-Verlag, New York, 1974.
5. E. H. BROWN, Twisted tensor products, *Ann. Math.* **69** (1959), 537–560.

6. H. CARTAN, Séminaire de l'ENS, 1954/1955.
7. H. CARTAN, Séminaire de l'ENS 1959/60.
8. H. CARTAN AND S. EILENBERG, "Homological Algebra," Princeton Univ. Press, Princeton, N.J., 1956.
9. J. COHEN, "Automorphisms of Free Groups," preprint.
10. E. DYER AND A. VASQUEZ, Some small aspherical spaces, *J. Austral. Math. Soc.* **16** (1973), 332-352.
11. W. DWYER, Cohomology of groups and Massey products, *J. Pure Appl. Algebra* **6** (1975), 177-190.
12. V. GUGGENHEIM AND P. MAY, "On the Theory and Applications of Differential Torsion Products," Memoirs Amer. Math. Soc. No. 142, Providence, R.I.
13. M. GUTIERREZ, On the $\bar{\mu}$ -invariants for groups, *Proc. Amer. Math. Soc.* **55** (1976), 293-298.
14. M. GUTIERREZ, Concordance and homotopy, I, *Pacific J. Math.*, to appear; "Concordance and Homotopy, II," preprint.
15. G. HIGMAN, A finitely generated infinite simple group, *J. London Math. Soc.* **26** (1951), 61-64.
16. M. LAZARD, "Groupes Analytiques p -adiques," Publ. Scient. de l'IHES No. 26, Bures-sur-Yvette, Esonne, 1965.
17. W. MAGNUS, A. KARRAS, AND D. SOLITAR, "Combinatorial Group Theory," Interscience, New York, 1966.
18. J. MILNOR, Isotopy of links, in "Algebraic Geometry and Topology," Princeton Univ. Press, Princeton, N.J., 1957.
19. J. STALLINGS, Homology and central series of groups, *J. Algebra* **2** (1965), 170-181.
20. J. STALLINGS, Quotients of powers of the augmentation ideal in a group ring, in "Knots, Groups and 3-Manifolds," Annals of Mathematical Studies, No. 84, Princeton Univ. Press, Princeton, N.J., 1975.
21. U. STAMMBACH, "Homology in Group Theory," Lecture Notes in Mathematics, No. 359, Springer-Verlag, New York, 1975.
22. D. SULLIVAN, "Geometric Topology, I," M.I.T. Press, Cambridge, Mass., 1970.
23. H. TROTTER, Homology of group systems with applications to group theory, *Ann. Math.* **76** (1962), 464-498.